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<AuNomDe>{PPE}on behalf of the PPE Group</AuNomDe>

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on behalf of S&D Group

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on behalf of RE Group

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| *Text proposed by the Commission* |

ANNEX III

**RULES ON CONDITIONALITY PURSUANT TO ARTICLE 11**

SMR: Statutory Management Requirement

GAEC: Standards for good agricultural and environmental condition of land

| **Areas** | **Main Issue** | **Requirements and standards** | | **Main objective of the standard** |
| --- | --- | --- | --- | --- |
| Climate and environment | Climate change  (mitigation of and adaptation to) | GAEC 1 | Maintenance of permanent grassland based on a ratio of permanent grassland in relation to agricultural area | *General safeguard against conversion to other agricultural uses to preserve carbon stock* |
| GAEC 2 | Appropriate protection of wetland and peatland | *Protection of carbon-rich soils* |
| GAEC 3 | Ban on burning arable stubble, except for plant health reasons | *Maintenance of soil organic matter* |
| Water | SMR 1 | Directive 2000/60/EC of 23 October 2000 of the European Parliament and of the Council establishing a framework for Community action in the field of water policy:  Article 11(3)(e) and Article 11(3)(h) as regards mandatory requirements to control diffuse sources of pollution by phosphates |  |
| SMR 2 | Council Directive 91/676/EEC of 12 December 1991 concerning the protection of waters against pollution caused by nitrates from agricultural sources (OJ L 375, 31.12.1991, p. 1):  Articles 4 and 5 |  |
| GAEC 4 | Establishment of buffer strips along water courses[[1]](#footnote-2) | *Protection of river courses against pollution and run-off* |
|  |  |  |
| GAEC 5 | Use of Farm Sustainability Tool for Nutrients[[2]](#footnote-3) | *Sustainable management of nutrients* |
|  |  |  |
| Soil  (protection and quality) | GAEC 6 | Tillage management reducing the risk of soil degradation, including slope consideration | *Minimum land management reflecting site specific conditions to limit erosion* |
| GAEC 7 | No bare soil in most sensitive period(s) | *Protection of soils in winter* |
| GAEC 8 | Crop rotation | *Preserve the soil potential* |
| Biodiversity and landscape  (protection and quality) | SMR 3 | Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7):  Article 3(1), Article 3(2)(b), Article 4(1), (2) and (4) |  |
| SMR 4 | Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild flora and fauna (OJ L 206, 22.7.1992, p. 7):  Article 6(1) and (2) |  |
| GAEC 9 | * Minimum share of agricultural area devoted to non-productive features or areas * Retention of landscape features * Ban on cutting hedges and trees during the bird breeding and rearing season * As an option, measures for avoiding invasive plant species | *Maintenance of non-productive features and area to improve on-farm biodiversity* |
| GAEC 10 | Ban on converting or ploughing permanent grassland in Natura 2000 sites | *Protection of habitats and species* |
| Public health, animal health and plant health | Food safety | SMR 5 | Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1):  Articles 14 and 15, Article 17(1)[[3]](#footnote-4) and Articles 18, 19 and 20 |  |
| SMR 6 | Council Directive 96/22/EC of 29 April 1996 concerning the prohibition on the use in stockfarming of certain substances having a hormonal or thyrostatic action and beta-agonists, and repealing Directives 81/602/EEC, 88/146/EEC and 88/299/EEC (OJ L 125, 23.5.1996, p. 3):  Article 3(a), (b), (d) and (e) and Articles 4, 5 and 7 |  |
| Identification and registration of animals | SMR 7 | Council Directive 2008/71/EC of 15 July 2008 on identification and registration of pigs (OJ L 213, 8.8.2005, p. 31):  Articles 3, 4 and 5 |  |
| SMR 8 | Regulation (EC) No 1760/2000 of the European Parliament and of the Council of 17 July 2000 establishing a system for the identification and registration of bovine animals and regarding the labelling of beef and beef products and repealing Council Regulation (EC) No 820/97(OJ L 204, 11.8.2000, p. 1):  Articles 4 and 7 |  |
| SMR 9 | Council Regulation (EC) No 21/2004 of 17 December 2003 establishing a system for the identification and registration of ovine and caprine animals and amending Regulation (EC) No 1782/2003 and Directives 92/102/EEC and 64/432/EEC (OJ L 5, 9.1.2004, p. 8):  Articles 3, 4 and 5 |  |
| Animal diseases | SMR 10 | Regulation (EC) No 999/2001 of the European Parliament and of the Council of 22 May 2001 laying down rules for the prevention, control and eradication of certain transmissible spongiform encephalopathies (OJ L 147, 31.5.2001, p. 1):  Articles 7, 11, 12, 13 and 15 |  |
| SMR 11 | Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases (OJ L 84, 31.3.2016, p.1)  Article 18(1), limited to foot-and-mouth disease, swine vesicular disease and blue tongue. |  |
| Plant protection products | SMR 12 | Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC (OJ L 309, 24.11.2009, p. 1):  Article 55, first and second sentence |  |
| SMR 13 | Directive 2009/128/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for Community action to achieve the sustainable use of pesticides (OJ L 309, 24.11.2009, p. 71):  Article 5(2) and Article 8(1) to (5)  Article 12 with regard to restrictions on the use of pesticides in protected areas defined on the basis of the Water Framework Directive and Natura 2000 legislation.  Article 13(1) and (3) on handling and storage of pesticides and disposal of remnants. |  |
| Animal welfare | Animal welfare | SMR 14 | Council Directive 2008/119/EC of 18 December 2008 laying down minimum standards for the protection of calves (OJ L 10, 15.1.2009, p. 7):  Articles 3 and 4 |  |
| SMR 15 | Council Directive 2008/120/EC of 18 December 2008 laying down minimum standards for the protection of pigs (OJ L 47, 18.2.2009, p. 5):  Article 3 and Article 4 |  |
| SMR 16 | Council Directive 98/58/EC of 20 July 1998 concerning the protection of animals kept for farming purposes(OJ L 221, 8.8.1998, p. 23):  Article 4 |  |

*Amendment*

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**RULES ON CONDITIONALITY PURSUANT TO ARTICLE 11**

SMR: Statutory Management Requirement

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| **Areas** | **Main Issue** | **Requirements and standards** | | **Main objective of the standard** |
| --- | --- | --- | --- | --- |
| Climate and environment | Climate change  (mitigation of and adaptation to) | GAEC 1 | Maintenance of permanent grassland ***at regional or national level*** based on a ratio of permanent grassland in relation to agricultural area ***in comparison to the reference year 2***￼***018.***  ***Maximum variation coefficient of 5% compared to the reference year.[[4]](#footnote-5)*** | *General safeguard against conversion to other agricultural uses to preserve carbon stock* |
| GAEC 2 | ***Effective*** protection of wetland and ***appropriate maintenance of***  peatland | *Protection of carbon-rich soils* |
| GAEC 3 | Ban on burning arable stubble, except for plant health reasons | *Maintenance of soil organic matter* |
| Water | SMR 1 | Directive 2000/60/EC of 23 October 2000 of the European Parliament and of the Council establishing a framework for Community action in the field of water policy:  Article 11(3)(e) and Article 11(3)(h) as regards mandatory requirements to control diffuse sources of pollution by phosphates |  |
| SMR 2 | Council Directive 91/676/EEC of 12 December 1991 concerning the protection of waters against pollution caused by nitrates from agricultural sources (OJ L 375, 31.12.1991, p. 1):  Articles 4 and 5 |  |
| GAEC 4 | Establishment of buffer strips along water courses ***with a minimum witdh of 3m without using pesticides and fertilizers***[[5]](#footnote-6) | *Protection of river courses****, water supplies and eco-systems***  *against pollution and run-off* |
|  |  |  |
| ~~GAEC 5~~ | ~~Use of Farm Sustainability Tool for Nutrients[[6]](#footnote-7)~~  ***deleted*** | *~~Sustainable management of nutrients~~* |
|  |  |  |
| Soil  (protection and quality) | GAEC 6 | ***Appropriate t***illage management reducing the risk of soil degradation ***and loss***, including slope consideration | *Minimum land management reflecting site specific conditions to limit erosion* |
| GAEC 7 | No bare soil in most sensitive period(s)***, except when it is being worked*** | ***Physical p****rotection of soils* ***against erosion, maintaining soil biota*** |
| GAEC 8 | Crop rotation ***in arable land, including a leguminous crop, except or crops growing under water*** | *Preserve the soil potential* |
| Biodiversity and landscape  (protection and quality) | SMR 3 | Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7):  Article 3(1), Article 3(2)(b), Article 4(1), (2) and (4) |  |
| SMR 4 | Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild flora and fauna (OJ L 206, 22.7.1992, p. 7):  Article 6(1) and (2) |  |
| GAEC 9 | * Minimum share of ***5% non-productive features and areas on arable land where no pestcides and fetilizers are used*** ~~agricultural area devoted to non-productive features or areas[[7]](#footnote-8)~~ * Retention of landscape features * Ban on cutting hedges and trees during the bird breeding and rearing season * As an option, measures for avoiding invasive plant species | *Maintenance of non-productive features and area to improve on-farm biodiversity* |
| GAEC 10 | ***Appropriate protection of*** ~~Ban on converting or ploughing~~ permanent grassland in Natura 2000 sites ***according to the site-specific management plan*** | *Protection of habitats and species,****carbon sinking*** |
| Public health, animal health and plant health | Food safety | SMR 5 | Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1):  Articles 14 and 15, Article 17(1)[[8]](#footnote-9) and Articles 18, 19 and 20 |  |
| SMR 6 | Council Directive 96/22/EC of 29 April 1996 concerning the prohibition on the use in stockfarming of certain substances having a hormonal or thyrostatic action and beta-agonists, and repealing Directives 81/602/EEC, 88/146/EEC and 88/299/EEC (OJ L 125, 23.5.1996, p. 3):  Article 3(a), (b), (d) and (e) and Articles 4, 5 and 7 |  |
| Identification and registration of animals | SMR 7 | Council Directive 2008/71/EC of 15 July 2008 on identification and registration of pigs (OJ L 213, 8.8.2005, p. 31):  Articles 3, ***~~4 and 5~~*** |  |
| SMR 8 | Regulation (EC) No 1760/2000 of the European Parliament and of the Council of 17 July 2000 establishing a system for the identification and registration of bovine animals and regarding the labelling of beef and beef products and repealing Council Regulation (EC) No 820/97(OJ L 204, 11.8.2000, p. 1):  Article***~~s 4 and~~*** 7 |  |
| SMR 9 | Council Regulation (EC) No 21/2004 of 17 December 2003 establishing a system for the identification and registration of ovine and caprine animals and amending Regulation (EC) No 1782/2003 and Directives 92/102/EEC and 64/432/EEC (OJ L 5, 9.1.2004, p. 8):  Articles 3***~~, 4~~*** and 5 |  |
| Animal diseases | SMR 10 | Regulation (EC) No 999/2001 of the European Parliament and of the Council of 22 May 2001 laying down rules for the prevention, control and eradication of certain transmissible spongiform encephalopathies (OJ L 147, 31.5.2001, p. 1):  Articles 7, 11, 12, 13 and 15 |  |
| SMR 11 | Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases (OJ L 84, 31.3.2016, p.1)  Article 18(1), limited to foot-and-mouth disease, swine vesicular disease and blue tongue. |  |
| Plant protection products | SMR 12 | Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC (OJ L 309, 24.11.2009, p. 1):  Article 55, first and second sentence |  |
| SMR 13 | Directive 2009/128/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for Community action to achieve the sustainable use of pesticides (OJ L 309, 24.11.2009, p. 71):  Article 5(2) and Article 8(1) to (5)  Article 12 with regard to restrictions on the use of pesticides in protected areas defined on the basis of the Water Framework Directive and Natura 2000 legislation.  Article 13(1) and (3) on handling and storage of pesticides and disposal of remnants. |  |
| Animal welfare | Animal welfare | SMR 14 | Council Directive 2008/119/EC of 18 December 2008 laying down minimum standards for the protection of calves (OJ L 10, 15.1.2009, p. 7):  Articles 3 and 4 |  |
| SMR 15 | Council Directive 2008/120/EC of 18 December 2008 laying down minimum standards for the protection of pigs (OJ L 47, 18.2.2009, p. 5):  Article 3 and Article 4 |  |
| SMR 16 | Council Directive 98/58/EC of 20 July 1998 concerning the protection of animals kept for farming purposes(OJ L 221, 8.8.1998, p. 23):  Article 4 |  |

1. The GAEC buffer strips must respect, both within and outside vulnerable zones designated pursuant to Article 3(2) of Directive 91/676/EEC, at least the requirements relating to the conditions for land application of fertiliser near water courses, referred to in point A.4 of Annex II to Directive 91/676/EEC to be applied in accordance with the action programmes of Member States established under Article 5(4) of Directive 91/676/EEC [↑](#footnote-ref-2)
2. The Tool shall provide at least for the following elements and functionalities:

   a) Elements

   • Relevant farm information based on LPIS and IACS;

   • Information from the soil sampling , on an appropriate spatial and temporal scale;

   • Information on relevant management practices, crop history, and yield goals;

   • Indications regarding legal limits and requirements relevant to farm nutrients management;

   • A complete nutrient budget.

   b) Functionalities

   • Automatic integration of data from various sources (LPIS and IACS, farmer-generated data, soil analyses etc.) as far as possible, to avoid data input duplication for farmers;

   • Two-way communication between PA/MAs and farmers allowed;

   • Modularity and possibility to support further sustainability objectives (e.g. emissions management, water management)

   • Respect of EU data inter-operability, openness and re-use principles;

   • Guarantees for data security and privacy in line with best current standards. [↑](#footnote-ref-3)
3. As implemented in particular by:

   — Article 14 of Regulation (EC) No 470/2009 and the Annex of Regulation (EC) No 37/2010,

   — Regulation (EC) No 852/2004: Article 4(1) and Annex I part A (II 4 (g, h, j), 5 (f, h), 6; III 8 (a, b, d, e), 9 (a, c)),

   — Regulation (EC) No 853/2004: Article 3(1) and Annex III Section IX Chapter 1 (I-1 b, c, d, e; I-2 a (i, ii, iii), b (i, ii), c; I-3; I-4; I-5; II-A 1, 2, 3, 4; II-B 1(a, d), 2, 4 (a, b)), Annex III Section X Chapter 1(1),

   — Regulation (EC) No 183/2005: Article 5(1) and Annex I, part A (I-4 e, g; II-2 a, b, e), Article 5(5) and Annex III (under the heading ‘FEEDING’, point 1 entitled ‘Storage’, first and last sentences, and point 2 entitled ‘Distribution’, third sentence), Article 5(6), and

   — Regulation (EC) No 396/2005: Article 18. [↑](#footnote-ref-4)
4. ***It must be ensured that there is no loss of total permanent grassland at regional and/or national level*** [↑](#footnote-ref-5)
5. ***Member States with significant dewatering and irrigation ditches may adjust, if duly justified for that area, the minimum width in accordance with MS specific local circumstances.*** [↑](#footnote-ref-6)
6. ***~~The Tool shall provide at least for the following elements and functionalities:~~***

   ***~~a) Elements~~***

   ***~~• Relevant farm information based on LPIS and IACS;~~***

   ***~~• Information from the soil sampling , on an appropriate spatial and temporal scale;~~***

   ***~~• Information on relevant management practices, crop history, and yield goals;~~***

   ***~~• Indications regarding legal limits and requirements relevant to farm nutrients management;~~***

   • A complete nutrient budget.

   b) Functionalities

   • Automatic integration of data from various sources (LPIS and IACS, farmer-generated data, soil analyses etc.) as far as possible, to avoid data input duplication for farmers;

   • Two-way communication between PA/MAs and farmers allowed;

   • Modularity and possibility to support further sustainability objectives (e.g. emissions management, water management)

   • Respect of EU data inter-operability, openness and re-use principles;

   • Guarantees for data security and privacy in line with best current standards. [↑](#footnote-ref-7)
7. ***using exiting flexibility of EU 1307/2013, Article 46*** [↑](#footnote-ref-8)
8. As implemented in particular by:

   — Article 14 of Regulation (EC) No 470/2009 and the Annex of Regulation (EC) No 37/2010,

   — Regulation (EC) No 852/2004: Article 4(1) and Annex I part A (II 4 (g, h, j), 5 (f, h), 6; III 8 (a, b, d, e), 9 (a, c)),

   — Regulation (EC) No 853/2004: Article 3(1) and Annex III Section IX Chapter 1 (I-1 b, c, d, e; I-2 a (i, ii, iii), b (i, ii), c; I-3; I-4; I-5; II-A 1, 2, 3, 4; II-B 1(a, d), 2, 4 (a, b)), Annex III Section X Chapter 1(1),

   — Regulation (EC) No 183/2005: Article 5(1) and Annex I, part A (I-4 e, g; II-2 a, b, e), Article 5(5) and Annex III (under the heading ‘FEEDING’, point 1 entitled ‘Storage’, first and last sentences, and point 2 entitled ‘Distribution’, third sentence), Article 5(6), and

   — Regulation (EC) No 396/2005: Article 18. [↑](#footnote-ref-9)